

**Notice Pursuant to Bankruptcy Rule 3001**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)

Court ID (Court Use Only) \_\_\_\_\_

**NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)**

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> <b>VONWIN CAPITAL MANAGEMENT L.P.</b>	<u>Name of Transferor:</u> <b>The Hongkong and Shanghai Banking Corporation Limited</b>
Notices to Transferee should be sent to: Vonwin Capital Management, L.P. 261 Fifth Avenue, 22 <sup>nd</sup> floor, New York, NY 10016 USA Contact: cw@primeshares.com	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor <b>The Hongkong and Shanghai Banking Corporation Limited</b>  ATTN: 1 Queen's Road Central, Central, Hong Kong
<u>Amount of Claim Being Transferred:</u> See Schedule 1 (face amount of securities)	<b>NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS</b>
<u>Court Claim No. (if known):</u> 56671	
<u>Date Claim Filed:</u> <b>October 29, 2009</b>	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Name: Roger von Spiegel  
Vonwin Capital, LLC, the general partner of  
Vonwin Capital Management, L.P.

Vonwin Capital Management, L.P.  
261 Fifth Avenue, 22nd floor,  
New York, NY 10016  
USA  
Contact: cw@vonwincapital.com

Date: 07-01-2021

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under*

Bankruptcy Rule 3001(e).

Schedule 1.

Transferred Claims

<u>ISIN/CUSIP</u>	<u>Court Claim #</u>	<u>Date Claim Filed</u>	<u>Issuer</u>	<u>CCY</u>	<u>Currency and nominal amounts or Units</u>
XS0326538120	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	SGD	100,000
XS0301813522	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	USD	250,000
XS0301813522	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	USD	100,000
XS0229584296	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	EUR	100,000
XS0326025920	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	USD	100,000
XS0322469403	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	SGD	100,000
XS0322469403	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	SGD	100,000
XS0326538120	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	SGD	100,000
XS0326025920	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	USD	200,000
XS0340114353	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	USD	200,000

**Evidence of Transfer**

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: *Lehman Brothers Holdings Inc.* (the "Debtor")

Case Name and Number: In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)

Proof of Claim Number: 56671 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim");

**This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:**

<u>ISIN/CUSIP</u>	<u>Court Claim #</u>	<u>Date Claim Filed</u>	<u>Issuer</u>	<u>CCY</u>	<u>Currency and nominal amounts or Units</u>
XS0326538120	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	SGD	100,000
XS0301813522	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	USD	250,000
XS0301813522	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	USD	100,000
XS0229584296	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	EUR	100,000
XS0326025920	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	USD	100,000
XS0322469403	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	SGD	100,000
XS0322469403	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	SGD	100,000
XS0326538120	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	SGD	100,000
XS0326025920	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	USD	200,000
XS0340114353	56671	10/29/2009	LEHMAN BROTHERS TREASURY BV	USD	200,000

**The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.**

**The Hongkong and Shanghai Banking Corporation Limited**

("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Vonwin Capital Management, L.P.  
Att: Roger Von Spiegel  
261 Fifth Avenue, 22<sup>nd</sup> floor,  
New York, NY 10016  
USA  
Contact: cw@vonwincapital.com

USA

Contact: cw@primeshares.com

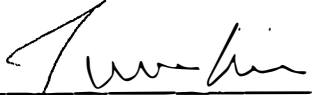
("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (SCC) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated 15<sup>th</sup> June, 2021

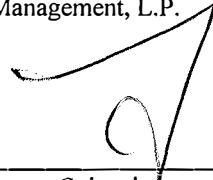
**The Hongkong and Shanghai Banking Corporation Limited, Singapore Branch**  
Transferor

By:   
Name: Terry Lam  
Title: Head of Private Banking Operations

ACKNOWLEDGED BY:

Vonwin Capital Management, L.P.

Transferee

By:   
Name: Roger von Spiegel  
Title: Managing member of Vonwin Capital, LLC, the general partner of Vonwin Capital Management, L.P.  
Contact: cw@primeshares.com